P21036.P01

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	P21036	Total Pages	

Inventor(s) or Application Identifier
Hayk ESENYAN and Richard W. FRANCIS

Title: COMPUTER-BASED VIDEO RECORDING AND MANAGEMENT EQUIPMENT SYSTEM FOR MEDICAL DIAGNOSTIC EQUIPMENT

ADDRESS TO:
Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

11/16/01   Jc985 U.S. PTO

J1017 U.S. 09/987872 11/16/01

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form
   (Submit an original, and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.
   See 37 CFR 1.27.
   a. ☐ Small Entity Statement(s)

3. ☒ Specification
   (preferred arrangement set forth below) [Total Pages __66__]
   - Descriptive title of the Invention
   - Cross References to Related Applications
   - Statement Regarding Fed sponsored R & D
   - Reference to sequence listing, a table, or a computer program listing appendix
   - Background of the Invention
   - Brief Summary of the Invention
   - Brief Description of the Drawings (if filed)
   - Detailed Description
   - Claim(s)
   - Abstract of the Disclosure

4. ☒ Drawing(s) (35 USC 113)   [Total Sheets __24__]

5. ☒ Oath or Declaration   [Total Pages __3__]
   a. ☒ Newly executed (original or copy)   ☐ Unexecuted
   b. ☐ Copy from a prior application (37 CFR 1.63(d))
      (for continuation/divisional with Box 20 completed)
      [Note Box 6 below]
      i. ☐ DELETION OF INVENTOR(S)
         Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Incorporation By Reference (useable if Box 5b is checked)
   The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

7. ☐ Application Data Sheet. See 37 CFR 1.76

8. ☐ CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)

ACCOMPANYING APPLICATION PARTS

9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
   a. ☐ Computer Readable Form (CRF)
   b. Specification Sequence Listing on:
      i. ☐ CD-ROM or CD-R (2 copies); or
      ii. ☐ paper
   c. ☐ Statements verifying identity of above copies

10. ☒ Assignment Papers (cover sheet & document(s))

11. ☐ 37 CFR 3.73(b) Statement   ☐ Power of Attorney
    (when there is an assignee)

12. ☐ English Translation Document (if applicable)

13. ☐ Information Disclosure Statement (IDS)/PTO-1449   ☐ Copies of IDS Citations

14. ☐ Preliminary Amendment

15. ☒ Return Receipt Postcard (MPEP 503)
    (Should be specifically itemized)

16. ☒ Figure of Drawing to be published ____1____

17. ☐ Foreign priority claimed
    a. ☐ Claim of Priority
    b. ☐ Certified Copy of Priority Document(s)

18. ☒ Assignee: PENTAX PRECISION INSTRUMENT CORP. of Orangeburg, NY.

19. ☐ Other: _____

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20. **If a CONTINUING APPLICATION,** check appropriate box and supply the requisite information:
    ☐ Continuation   ☐ Divisional   ☐ Continuation-in-part (CIP)   of prior Application No. ____/____, filed _____
    Prior application information: Examiner: _____   *Group Art Unit:* _____

21. ☐ Amend the specification by inserting before the first line the sentence:
    This application is a __ continuation-in-part, __ continuation, __ divisional, of Application No. __ __/__ __, filed _____.

Address all future correspondence to **Customer No. 7055** at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

Date: 11/16/01

Signature: _(signed)_ Reg. No. 33,329

Bruce H. Bernstein, Reg No. 29,027
Typed or Printed Name

P21036.P02

FEE TRANSMITTAL

Complete if Known	
Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	H. ESENYAN et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned

TOTAL AMOUNT OF PAYMENT	($)996.00	Attorney Docket Number	P21036

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number __19-0089__

Deposit Account Name __GREENBLUM & BERNSTEIN, P.L.C.__

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))

☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)

☐ Applicant Claims Small Entity Status See 37 CFR 1.27.

2. ☒ Payment Enclosed:
   ☒ Check   ☐ Credit Card   ☐ Money Order   ☐ Other

FEE CALCULATION (fees effective 12/29/99)

1. FILING FEE

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) ($)__740__

2. CLAIMS

		Extra	Fee from below	Fee Paid
Total Claims __32__ -20=		__12__	x __18__ =	__216__
Independent Claims __3__ -3=			x __84__ =	__0__
Multiple Dependent Claims			x __280__ =	__0__

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim
109	84	209	42	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) ($)__216__

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR Prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within 1st month	
116	400	216	200	Extension for response within 2nd month	
117	920	217	460	Extension for response within 3rd month	
118	1,440	218	720	Extension for response within 4th month	
128	1,960	228	980	Extension for response within 5th month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,280	241	640	Petition to revive unintentionally abandoned application	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of IDS	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) ($)__40__

*Reduced by Basic Filing Fee paid

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Bruce H. Bernstein	Reg. Number	29,027
Signature	*Leslie Wagonner* Reg No. 33,329	Date 11/16/01	Deposit Account User ID